

Fund for Life and Biodiversity represented by
its Fiduciary Agent and Trustee, Fiduciaria
Colombiana de Comercio Exterior
(FIDUCOLDEX)

Ministry of Environment and Sustainable
Development

**Forest Connectivity, Conservation and
Sustainable Management in Colombian
Amazon Landscapes – Life Amazon
(P500481)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

Appraisal

March 5, 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Fund for Life and Biodiversity represented by its Fiduciary Agent and Trustee, Fiduciaria Colombiana de Comercio Exterior - FIDUCOLDEX, and the Ministry of Environment and Sustainable Development (MinAmbiente) (together the “Recipient”) will implement the Forest Connectivity, Conservation and Sustainable Management in Colombian Amazon Landscapes – Life Amazon Project (the Project) with the involvement of partner entities the Amazon Institute for Scientific Research – Sinchi, the Colombian Institute of Hydrology, Meteorology and Environmental Studies – IDEAM, National Natural Parks – PNN, the Sustainable Development Corporation for the Southern Amazon – Corpoamazonia, and the Sustainable Development Corporation for the Northern and Eastern Amazon – CDA, as set out in the Grant Agreement (the Agreement). The International Bank for Reconstruction and Development (the Bank) acting as the Implementing Agency of the Global Environment Facility - GEF, has agreed to provide financing for the Project, as set out in the Agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through MinAmbiente and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient’s Authorized Representative as specified in the Agreement or [name position of designated official, e.g., minister, director] of the Fund for Life and Biodiversity or MinAmbiente. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on “Indicators for Implementation Readiness” below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the “Timeframe” column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	<p>ORGANIZATIONAL STRUCTURE</p> <p>a. Establish and maintain a Project Implementation Unit (PIU) with qualified staff and resources to support management of environmental, social, health and safety (E&S) risks and impacts of the Project including: an environmental and health and safety (H&S) specialist, and a social specialist, who will also act as focal point for the Project's GRM.</p> <p>b. Enter into Inter-institutional Cooperation Agreements with the Partner Entities (IDEAM, SINCHI, PNN, CorpoAmazonia and CDA) to manage and monitor E&S risks and impacts of the Project.</p>	<p>a. No later than ninety (90) days after the Project's Effective Date, establish a PIU and hire or appoint the environment and social specialist, and thereafter maintain the PIU and these positions throughout Project implementation.</p> <p>b. Enter into the Inter-institutional Cooperation Agreements with the Executing Partners as a condition of Project Effectiveness.</p>	Project Implementation Unit (PIU) -Fund for Life and Biodiversity /Minambiente
B	<p>CAPACITY BUILDING MEASURES</p> <p>Prepare and implement the following capacity building measures:</p> <p>Prepare an E&S Risk Management training plan for periodic capacity-building of Project team, addressing E&S requirements as set out in the Project's ESF documents to be prepared according to this ESCP requirements.</p>	Prepare and submit to the Bank an E&S Risk Management training plan within 120 days after the Project's Effective Date.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
MONITORING AND REPORTING			
C	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, H&S performance of the Project. The reports shall include:</p> <ul style="list-style-type: none"> • Status of preparation and implementation of E&S documents required under the ESCP. • Summary of E&S compliance of Project activities within the reported period. • Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan. • Status of implementation of the gender action plan. 	Submit semestral reports to the Bank throughout Project implementation, commencing after the Project's Effective Date. Submit each report to the Bank no later than forty-five (45) days after the end of each reporting period.	Project Implementation Unit (PIU) -Fund for Life and Biodiversity /Minambiente

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> Complaints submitted to the grievance mechanism(s), the grievance log, progress made in resolving them and lessons learned (grievance management). Number and status of resolution of incidents and accidents reported under action E below, and the Security Plan implementation. 		
D	<p>CONTRACTORS' QUARTERLY REPORTS</p> <p>Require contractors to provide quarterly monitoring reports on E&S and H&S performance in accordance with the metrics specified in the respective bidding documents and contracts.</p>	Submit the quarterly reports to the PIU and, upon request, to the Bank. These reports should also be submitted to the Bank as annexes to the semestral reports required under action C above.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
E	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Bank of any incident or accident relating to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Notify the Bank no later than forty-eight (48) hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide review report and Corrective Action Plan to the Bank no later than two weeks following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.</p>	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS</p> <p>a. Finalize, consult, adopt and implement an Environmental and Social Assessment (ESA), and prepare and adopt the corresponding Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p> <p>b. Include in the Inter-institutional Cooperation Agreements with the Partner Entities (IDEAM, SINCHI, PNN, Corpoamazonia and CDA) the requirement to adopt and implement the ESMF. The subprojects or activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	<p>a. Update and finalize the ESA and ESMF no later than sixty (60) days after the Project's Effective Date, and thereafter implement the ESMF throughout Project implementation.</p> <p>b. Same timeline as item A(b). Partner Entities to implement the ESMF throughout Project implementation.</p>	Project Implementation Unit (PIU) -Fund for Life and Biodiversity /Minambiente

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including the ESMF, the IPPF, the PF as needed, the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.</p>	<p>As part of the preparation of procurement documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation. Copies of relevant contracts provided to the Bank upon request.</p>	<p>Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente</p>
1.3	<p>TECHNICAL ASSISTANCE</p> <p>Carry out the consultancies, studies (including feasibility studies, if applicable), technical assistance on forestry and bioeconomy, capacity building, training, and any other technical assistance activities under the Project in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter review and supervise the preparation and finalization of the outputs of such activities to verify that they are carried out in compliance with the E&S requirements in the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente</p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>a. Prepare, adopt and implement the Labor Management Procedures (LMP) for the Project as part of the ESMF, consistent with ESS2 addressing the working conditions and management of worker relationships, code of conduct including relating to SEA and SH prevention, child labor and minimum age of employment, forced labor, occupational health and safety, and applicable requirements for contracted workers, community workers, and contractors.</p> <p>b. Prior to the adoption of the LMP, ensure that the requirements of ESS2 are applied to the Project Workers as needed, including but not limited with respect to OHS measures, in compliance with ESS2.</p>	<p>a. No later than sixty (60) days after the Project's Effective Date, and thereafter implement the LMP throughout Project implementation.</p> <p>b. Until the adoption of the LMP.</p>	<p>Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2, and include a protocol to address SEA/SH related complaints.</p>	<p>Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.</p>	<p>Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT</p> <p>Evaluate waste management risks related to hazardous and non-hazardous waste generated by Project activities and incorporate related waste management measures consistent with ESS3 in the ESMF to be prepared under action 1.1 above, to reduce pollution and contamination risks related to the Project.</p>	Same timeframe as for the preparation and implementation of the ESMF.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures, as well as pest management measures, in the ESMF to be prepared under action 1.1 above.</p>	Same timeframe as for the preparation and implementation of the ESMF.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, <i>inter alia</i>, behavior of Project workers, risks of labor influx, response to emergency situations, and include mitigation measures in the ESMF to be prepared under action 1.1 above.</p>	Same timeframe as for the preparation and implementation of the ESMF.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
4.2	<p>SEA AND SH RISKS</p> <p>Prepare and implement a SEA/SH Action Procedure as part of the LMP and the ESMF to identify and implement SEA/SH measures, including mapping of services for victims of SEA/SH in the Project intervention areas, codes of conduct for Project workers, and procedures to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral to service providers, all in a safe and confidential manner.</p>	Submit the SEA/SH Action Procedure to the Bank for review and No Objection no later than sixty (60) days after the Project's Effective Date, and thereafter implement the SEA/SH Action Procedure throughout Project implementation.	Project Implementation Unit (PIU) -Fund for Life and Biodiversity /Minambiente
4.3	<p>SECURITY MANAGEMENT</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of field visits, and include these measures in a Security Plan.</p>	Prepare a Security Plan prior to initiating field activities. Thereafter implement said Plan.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>PROCESS FRAMEWORK</p> <p>Prepare, consult and implement a Process Framework (PF) for the Project consistent with ESS5, including the adoption of measures to address potential impacts or restrictions on land use or access to natural resources by indigenous peoples and other vulnerable communities that the Project may eventually cause during its implementation.</p>	Prepare and submit the PF to the Bank for review and No Objection no later than (ninety) 90 days after the Project's Effective Date, and thereafter implement the PF throughout Project implementation.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Incorporate as part of the ESMF to be prepared under action 1.1 above, biodiversity conservation and sustainable use measures, consistent with ESS6, including those related to the sustainable use of natural resources and other production and nature-based income-generation activities under Components 1 and 2 of the Project.</p>	Same timeframe as for the preparation and implementation of the ESMF.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>INDIGENOUS PEOPLES FRAMEWORK AND PLANS</p> <p>a. Prepare, consult and implement an Indigenous Peoples Planning Framework (IPPF) for the Project, consistent with ESS7. Adopt and disclose the IPPF with the results of the public consultation.</p> <p>b. Prepare, consult and implement an Indigenous Peoples Plan (IPP) for each activity under the Project for which such IPP is required as set out in the IPPF and consistent with ESS7.</p>	<p>a. Submit the IPPF to the Bank for review and No Objection no later than (ninety) 90 days after the Project's Effective Date, and thereafter implement the IPPF throughout Project implementation.</p> <p>b. The IPPs shall be developed and submitted for Bank's review and No Objection prior to the initiation of activities in areas where the IPPF provides for the preparation of an IPP. Once approved, the IPPs shall be implemented throughout the activity implementation.</p>	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FIND</p> <p>Describe and implement the chance finds procedures as part of the ESMF of the Project, to be prepared under action 1.1 above. Measures should be consistent with ESS8 and national legislation requirements to protect and report chance finds that might occur as a result of the implementation of Project activities.</p>	Same timeframe as for the preparation and implementation of the ESMF.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN</p> <p>Update, finalize and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, <i>inter alia</i>, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	Finalize, adopt and publish the SEP prior to the Project's Effective Date, and thereafter implement the SEP throughout Project implementation.	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism (GM), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	GM established and operational no later than sixty (60) days from the Project's Effective Date. As needed, the GM will be assessed and updated periodically	Project Implementation Unit (PIU) - Fund for Life and Biodiversity /Minambiente
INDICATORS FOR IMPLEMENTATION READINESS			
<p>The following actions are indicators for implementation readiness:</p> <p>A a) and b) B a) 1.1 2.1 4.2 5.1 6.1 7.1 a 10.1 10.2</p>			